# Ordinals and Typed Lambda Calculus Ordinal Notations

Andrés Sicard-Ramírez

Universidad EAFIT

Semester 2018-2

### Too many numbers to be named

Spector [1955] starts by pointing out that

Cantor's second ordinal number class is perhaps the simplest example of a set of mathematical objects which cannot all be named in one language.

Ordinal Notations 2/19

#### Remark

Recall that a language is a subset of words over an alphabet.

Ordinal Notations 3/19

#### Remark

Recall that a language is a subset of words over an alphabet.

### Question

Can all natural numbers be named in one language?

Ordinal Notations 4/19

#### Remark

Recall that a language is a subset of words over an alphabet.

### Question

Can all natural numbers be named in one language?

Yes! We can name any natural number by a word over the alphabet  $\{0, 1, 2, \dots, 9\}$ .

Ordinal Notations 5/19

#### Remark

Recall that a language is a subset of words over an alphabet.

### Question

Can all natural numbers be named in one language?

Yes! We can name any natural number by a word over the alphabet  $\{0, 1, 2, \dots, 9\}$ .

That was easy because the set of natural numbers is denumerable.

Ordinal Notations 6/19

Question

Can all real numbers be named in one language?

Ordinal Notations 7/19

#### **Theorem**

Every ordinal  $\alpha$  less than  $\epsilon_0$  has a normal form

$$\alpha = \omega^{\beta_1} + \omega^{\beta_2} + \dots + \omega^{\beta_n},$$

where  $\beta_1 \geq \beta_2 \geq \cdots \geq \beta_n$  are ordinals and  $\beta_i < \alpha$  [Pohlers 2009, p. 33].

Ordinal Notations 8/19

#### Theorem

Every ordinal  $\alpha$  less than  $\epsilon_0$  has a normal form

$$\alpha = \omega^{\beta_1} + \omega^{\beta_2} + \dots + \omega^{\beta_n},$$

where  $\beta_1 \geq \beta_2 \geq \cdots \geq \beta_n$  are ordinals and  $\beta_i < \alpha$  [Pohlers 2009, p. 33].

#### Definition

From the above theorem, we can name any ordinal less than  $\epsilon_0$  by a word over the alphabet  $\{+,0,\omega^.\}$ . By coding these words in natural numbers, we get a **notation system for the ordinals below**  $\epsilon_0$  [Pohlers 2009, p. 33].

Ordinal Notations 9/19

#### Theorem

Every ordinal  $\alpha$  less than  $\epsilon_0$  has a normal form

$$\alpha = \omega^{\beta_1} + \omega^{\beta_2} + \dots + \omega^{\beta_n},$$

where  $\beta_1 \geq \beta_2 \geq \cdots \geq \beta_n$  are ordinals and  $\beta_i < \alpha$  [Pohlers 2009, p. 33].

#### Definition

From the above theorem, we can name any ordinal less than  $\epsilon_0$  by a word over the alphabet  $\{+,0,\omega^\cdot\}$ . By coding these words in natural numbers, we get a **notation system for the ordinals below**  $\epsilon_0$  [Pohlers 2009, p. 33].

#### Remark

The ordinal  $\epsilon_0$  is the smallest ordinal that has no a name in terms of  $\omega$ .

Ordinal Notations 10/19

Representation using trees

We can also represent ordinals below  $\epsilon_0$  using finite (ordered) trees.

Ordinal Notations 11/19

#### Representation using trees

We can also represent ordinals below  $\epsilon_0$  using finite (ordered) trees.

#### Theorem

There is a one-to-one correspondence between finite rooted trees and ordinals below  $\epsilon_0$  given by [Dershowitz 1993]:

- i) The one-node tree represents the ordinal 0.
- ii) The tree with sub-trees representing the ordinals  $\alpha_1, \ldots, \alpha_n$  represents the ordinal  $\omega^{\alpha_1} \# \cdots \# \omega^{\alpha_n}$ .

Ordinal Notations 12/19

#### Theorem

There is a one-to-one correspondence between finite ordered rooted trees and ordinals below  $\epsilon_0$  given by [Dershowitz 1993]:

- i) The one-node ordered tree represents the ordinal 0.
- ii) The ordered tree with ordered sub-trees representing the ordinals  $\alpha_1 \geq \cdots \geq \alpha_n$  represents the ordinal  $\omega^{\alpha_1} + \cdots + \omega^{\alpha_n}$ .

Ordinal Notations 13/19

### Kleene's O

#### Definition

We inductively define the **notation system**  $\mathcal{O}$  and the **well-founded ordering**  $<_{\mathcal{O}}$  [Cooper 2004, Definition 16.2.29, p. 358]:

- i) We start by giving the ordinal 0 notation 1. Assume all ordinals less than  $\alpha$  have been assigned notations, and  $<_{\mathcal{O}}$  has been defined on these notations.
- ii) Say  $\alpha=\beta+1$ , and  $\beta$  has notation x. Then  $\alpha$  gets notation  $2^x$  and we add  $\langle z,2^x\rangle$  to  $<_{\mathcal{O}}$  for each z such that z=x
  - or  $z <_{\mathcal{O}} x$  already.
- iii) Say  $\alpha$  is a limit ordinal, and  $\langle \varphi_e(n) : n \in \mathbb{N} \rangle$  is a list of notations for ordinals with limit  $\alpha$ , and  $\forall n [\varphi_e(n) <_{\mathcal{O}} \varphi_e(n+1)]$  already.

Then give  $\alpha$  notation  $3 \cdot 5^e$ , and add  $\langle z, 3 \cdot 5^e \rangle$  to  $<_{\mathcal{O}}$  for all z for which  $z <_{\mathcal{O}} \varphi_e(n)$  already, some  $n \geq 0$ .

Ordinal Notations 14/19

## Kleene's O

#### Remark

We could use the notations zero,  $\operatorname{succ}(x)$  and  $\lim(e)$  instead of the notations  $1, 2^x$  and  $3 \cdot 5^e$ .\*

# Constructive Ordinals and Computable Ordinals

#### Definition

The **constructive ordinals** (second definition) are the ordinals notated by  $\mathcal{O}$  [Cooper 2004, Definition 16.2.29, p. 358].

<sup>\*</sup>See, e.g. [Cooper 2004, Definition 16.2.25, p. 358], [Rogers (1967) 1992, p. 211] and [Ash and Knight 2000, p. 61].

# Constructive Ordinals and Computable Ordinals

#### Definition

The **constructive ordinals** (second definition) are the ordinals notated by  $\mathcal{O}$  [Cooper 2004, Definition 16.2.29, p. 358].

### Definition

A countable ordinal is **computable** iff it is finite or it is isomorphic to a computable well-ordering  $(A, \prec)$ .\*

<sup>\*</sup>See, e.g. [Cooper 2004, Definition 16.2.25, p. 358], [Rogers (1967) 1992, p. 211] and [Ash and Knight 2000, p. 61].

# Constructive Ordinals and Computable Ordinals

#### Theorem

An ordinal  $\alpha$  is constructive iff  $\alpha$  is a computable ordinal.\*

<sup>\*</sup>See, e.g. [Rogers (1967) 1992, Corollary XIX and Theorem XX, p. 211] and [Ash and Knight 2000, § 4.7, p. 62].

### References

- Ash, C. J. and Knight, J. (2000). Computable Structures and the Hyperarithmetical Hierarchy. Vol. 144. Studies in Logic and the Foundations of Mathematics. Elsevier (cit. on pp. 16–18).
- Cooper, S. Barry (2004). Computability Theory. Chapman & Hall (cit. on pp. 14, 16, 17).
- Dershowitz, Nachum (1993). Trees, Ordinals and Termination. In: Theory and Practice of Software Development (TAPSOFT'93). Ed. by Gaudel, Marie-Claude and Jouannaud, Jean-Pierre. Vol. 668. Lecture Notes in Computer Science. Springer, pp. 243–250. DOI: 10.1007/3-540-56610-4\_68 (cit. on pp. 11–13).
- Fránzen, Torkel [2004] (2017). Inexhaustibility. A Non-Exhaustive Treatment. Vol. 16. Lecture Notes in Logic. Association for Symbolic Logic and Cambridge University Press. DOI: 10.1017/9781316755969 (cit. on p. 15).
- Pohlers, Wolfram (2009). Proof Theory. The First Step into Impredicativity. Springer. DOI: 10. 1007/978-3-540-69319-2 (cit. on pp. 8-10).
- Rogers, Hartley [1967] (1992). Theory of Recursive Functions and Effective Computability. Third printing. MIT Press (cit. on pp. 16–18).
- Spector, Clifford (1955). Recursive Well-Orderings. The Journal of Symbolic Logic 20.2, pp. 151–163. DOI: 10.2307/2266902 (cit. on p. 2).

Ordinal Notations 19/19