

# CM0889 Analysis of Algorithms

## Introduction to Algorithms

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# Administrative Information

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Course web page

<http://www1.eafit.edu.co/asr/courses/cm0889-analysis-of-algorithms/>

Textbook, evaluation, programming labs, course's repository, etc.

See course web page.

## Conventions

- The number assigned to chapters, examples, exercises, figures, sections, or theorems on these slides correspond to the numbers assigned in the textbook [Skiena 2012].
- The source code examples are in course's repository.

Designing *correct*, *efficient*, and *implementable* algorithms for real-world problems requires access to two distinct bodies of knowledge:

- *Techniques*

*Good algorithm designers understand several fundamental algorithm design techniques.*

- *Resources*

*Good algorithm designers stand on the shoulders of giants.*

*[Preface, p. v]*

# From Problems to Programs via Algorithms

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## Question

Can be **any** problem solved by a program?

# From Problems to Programs via Algorithms

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## Question

Can be **any** problem solved by a program?

No!

- Limitations when specifying the problem (no precise specification)
- Computation limitations (theoretical or practical)
- Ethical considerations and regulations

# From Problems to Programs via Algorithms

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## About solving problems

*Half the battle is knowing **what** problem to solve. [Aho, Hopcroft and Ullman 1985, p. 1]*

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*Perhaps the single most important design technique is **modeling**, the art of abstracting a messy real-world application into a clean problem suitable for algorithmic attack. [p. v]*



# From Problems to Programs via Algorithms

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Steps when writing a computer program to solve a problem

- Problem formulation and specification
- Design of the solution (**algorithm**)
- Implementation
- Testing
- Documentation
- Evaluation
- Maintenance

In software engineering the above steps are part of the software development life cycle.

# From Problems to Programs via Algorithms

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## Definition (common and informal)

An **algorithm**, which is a finite sequence of instructions, each of which has a clear meaning and can be performed with a finite amount of effort in a finite length of time. [Aho, Hopcroft and Ullman 1985, p. 1]

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What is an instruction?

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What is an instruction?

## Remark

Any informal definition of algorithm necessary will be **imprecise** (but the above definition is enough for our course).

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## Discussion

Is any computer program the implementation of some algorithm?



# From Problems to Programs via Algorithms

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## Paradigms of programming

**Imperative/object-oriented:** Describe computation in terms of state-transforming operations such as assignment. Programming is done with statements.

**Logic:** Predicate calculus as a programming language. Programming is done with sentences.

**Functional:** Describe computation in terms of (mathematical) functions. Programming is done with expressions.

## Examples

Imperative/OO: C, C++, JAVA, PYTHON

Logic: CLP(R), PROLOG

Functional: ERLANG, HASKELL, ML

# From Problems to Programs via Algorithms

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## Discussion

Does the algorithm for solving a problem depend of the programming language used for implementing it?

# Example: Sorting

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## Introduction

A sorting algorithm is an algorithm that puts elements of list according to some linear (total) order. Sorting algorithms are fundamental in Computer Science.

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A sorting algorithm is an algorithm that puts elements of list according to some linear (total) order. Sorting algorithms are fundamental in Computer Science.

## Sorting specification

**Problem:** Sorting

**Input:** A sequence of  $n$  keys  $(a_1, a_2, \dots, a_n)$ .

**Output:** A permutation (reordering)  $(a'_1, a'_2, \dots, a'_n)$  of the input sequence such that  $a'_1 \leq a'_2 \leq \dots \leq a'_n$ .

# Example: Sorting

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## Example

Input: (154, 245, 568, 324, 654, 324)

Output: (154, 245, 324, 324, 568, 654)

## Example: Sorting

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### Example

Input: (154, 245, 568, 324, 654, 324)

Output: (154, 245, 324, 324, 568, 654)

### Example

Input: (Mike, Bob, Sam, Jill, Jan)

Output (lexicographic order): (Bob, Jan, Jill, Mike, Sam)

Output (shortlex order): (Bob, Jan, Sam, Jill, Mike)

# Example: Sorting

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## An algorithm: Insertion sort

*Insertion sort is a method for sorting that starts with a single element (thus forming a trivially sorted list) and then incrementally inserts the remaining elements so that the list stays sorted. [p. 3]*

## Example: Sorting

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See simulation at

<https://www.hackerearth.com/practice/algorithms/sorting/insertion-sort/visualize/>.



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See simulation at

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See implementation and tests in `sorting-test.c`.

# Example: Robot Tour Optimisation

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## Specification

**Problem:** Robot Tour Optimisation

**Input:** A set  $P$  of  $n$  points in the plane.

**Output:** The shortest cycle tour that visits each point in the set  $P$ .

See Skiena's lecture slides: Introduction to Algorithms

## Example: Robot Tour Optimisation

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### Remarks about the closest-pair heuristic

In a vertex chain  $(v_1, v_2, \dots, v_n)$ , the vertices  $v_1$  and  $v_n$  are the endpoints.

A single vertex chain is a vertex chain  $(v)$  with only a vertex.

In the nearest-neighbour heuristic, the algorithm only looks at the neighbours of the current vertex. In the closest-pair heuristic, the algorithm looks in all the neighbours of the partial vertex chain.

'The description states that every vertex always belongs either to a "single-vertex chain" (i.e., it's alone) or it belongs to one other chain; a vertex can only belong to one chain. The algorithm says at each step you select every possible pair of two vertices which are each an endpoint of the respective chain they belong to, and don't already belong to the same chain. Sometimes they'll be singletons; sometimes one or both will already belong to a non-trivial chain, so you'll join two chains.'\*

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\*From <https://stackoverflow.com/a/7216814/1709190>.

## Example: Selecting the Right Jobs

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### Specification

**Problem:** Movie Scheduling Problem

**Input:** A set  $I$  of  $n$  intervals on the line.

**Output:** The largest subset of mutually non-overlapping intervals which can be selected from  $I$ .

See Skiena's lecture slides: Introduction to Algorithms

# From the Previous Examples

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## Take-Home Lesson

*There is a fundamental difference between **algorithms**, which always produce a correct result, and **heuristics**, which may usually do a good job but without providing any guarantee. [p. 9]*

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## Take-Home Lesson

*Reasonable-looking algorithms can easily be incorrect. Algorithm correctness is a property that must be **carefully** demonstrated. [p. 11]*

# Reasoning about the Correctness of Algorithms

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## Prerequisites

A well-specified problem (input/output correspondence):

- (i) The set of all allowed **input** instances.
- (ii) The required properties of the problem's **output**.

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## Correctness of an algorithm

**Partial correctness:** If an answer is returned it will be correct

**Total correctness:** Partial correctness + termination



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## Remark

The (**mathematical**) **proof** of the (partial or total) correctness of an algorithm can be a non-easy task.

# Reasoning about the Correctness of Algorithms

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## Remark

Reasoning about the correctness/verification of **algorithms** is **different** to the correctness/verification of **programs**.

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## Remark

We talk about **formal verification** when the underlying proof is machine-checked. For a current survey, see [Nipkow, Eberl and Haslbeck 2020].

# Reasoning about the Correctness of Algorithms

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## Demonstrating incorrectness

A counter-example is a direct way to prove the incorrectness of a heuristic. Think about small/extreme/related-to-the-decision-criteria examples. . .

# Reasoning about the Correctness of Algorithms

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## Induction and recursion

To every recursively defined set there is a correspond induction principle.

### Example

Whiteboard.

# Reasoning about the Correctness of Algorithms

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## Example

Prove the correctness of the following recursive algorithm for adding one to a natural number:

INCREMENT( $n : \mathbb{N}$ )

```
1  if  $n == 0$ 
2      return 1
3  else
4      if ( $n \bmod 2 == 1$ )
5          return ( $2 \cdot \text{INCREMENT}(\lfloor n/2 \rfloor)$ )
6      else return ( $n + 1$ )
```

# Reasoning about the Correctness of Algorithms

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## Proof by strong induction

Let  $P(n)$ :  $\text{INCREMENT}(n) = n + 1$ .

We need to prove that  $(\forall n \in \mathbb{N})P(n)$ .

(i) Basis case  $P(0)$ .

Since  $\text{INCREMENT}(0) = 1$  by line 2, then  $P(0)$  holds.

(ii) Inductive step  $(P(1) \wedge P(2) \wedge \dots \wedge P(k-1)) \rightarrow P(k)$ .

a) Case:  $k-1$  is odd

Since  $k$  is even and  $\text{INCREMENT}(k) = k + 1$  by line 6, then  $P(k)$  holds.

# Reasoning about the Correctness of Algorithms

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## Proof by strong induction (continuation)

ii) Inductive step  $(P(1) \wedge P(2) \wedge \dots \wedge P(k-1)) \rightarrow P(k)$ .

b) Case:  $k-1$  is even

The statement  $(P(1) \wedge P(2) \wedge \dots \wedge P(k-1)) \rightarrow P(k)$  holds because

$$\begin{aligned} & \text{INCREMENT}(k) \\ &= \text{INCREMENT}(2m+1) && (k \text{ is odd}) \\ &= 2 \cdot \text{INCREMENT}(\lfloor (2m+1)/2 \rfloor) && (\text{line 5}) \\ &= 2 \cdot \text{INCREMENT}(\lfloor m+1/2 \rfloor) && (\text{algebra}) \\ &= 2 \cdot \text{INCREMENT}(m) && (\text{algebra}) \\ &= 2 \cdot (m+1) && (m < k \text{ and IH}) \\ &= k+1 && (\text{algebra}) \end{aligned}$$



## References

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Aho, Alfred V., Hopcroft, John E. and Ullman, Jeffrey D. [1983] (1985). Data Structures and Algorithms. Reprinted with corrections. Addison-Wesley (cit. on pp. [7](#), [8](#), [10–16](#)).



Nipkow, Tobias, Eberl, Manuel and Haslbeck, Maximilian P. L. (2020). Verified Textbook Algorithms. A Biased Survey. In: Automated Technology for Verification and Analysis (ATVA 2020). Ed. by Hung, Dang Van and Sokolsky, Oleg. Lecture Notes in Computer Science. To appear. Springer (cit. on pp. [34](#), [35](#)).



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