#### CM0081 Automata and Formal Languages Introduction

Andrés Sicard-Ramírez

Universidad EAFIT

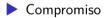
Semester 2024-1

Como miembros de la Universidad EAFIT, nos comprometemos a actuar de manera íntegra siguiendo los más altos estándares éticos y morales.





🕨 Honradez



Página web del curso

http://wwwl.eafit.edu.co/asr/courses/cm0081-automata/

Página web del curso

http://wwwl.eafit.edu.co/asr/courses/cm0081-automata/

Página web del curso

http://wwwl.eafit.edu.co/asr/courses/cm0081-automata/

Conducto regular, fechas y porcentajes de las evaluaciones La información está en la página web del curso.

Página web del curso

http://wwwl.eafit.edu.co/asr/courses/cm0081-automata/

Conducto regular, fechas y porcentajes de las evaluaciones La información está en la página web del curso.

#### Responsabilidad compartida





#### Orientaciones para el curso

- Se recomienda seis horas de trabajo por semana (dos horas por cada hora de clase).
- Las clases son presenciales.
- La evaluación a la docencia es obligatoria.
- Se recomienda revisar periódicamente los canales de comunicación institucionales (EAFIT Interactiva, correo institucional, Microsoft Teams).
- Las prácticas no se pueden realizar de manera individual y se deben realizar máximo entre dos estudiantes.

#### Preliminaries

#### Conventions

- The number and page numbers assigned to chapters, examples, exercises, figures, quotes, sections and theorems on these slides correspond to the numbers assigned in the textbook [Hopcroft, Motwani and Ullman 2007].
- The natural numbers include the zero, that is,  $\mathbb{N} = \{0, 1, 2, ...\}$ .

The power set of a set A, that is, the set of its subsets, is denoted by  $\mathcal{P}A$ .

Question (informal)

What can a computer do at all?

Question (informal)

What can a computer do at all?

Definition (informal)

A computable (or decidable) problem is a problem than can be solved by an algorithm.

#### Question (informal)

What can a computer do at all?

#### Definition (informal)

A computable (or decidable) problem is a problem than can be solved by an algorithm.

#### Question

Are there undecidable problems?

Example (The halting problem: An undecidable problem)

Given an program P and an input I, to decide if the program will halt or will run forever.



The halting algorithm does not exist.

Question

What can a computer do efficiently?

Question

What can a computer do efficiently?

Definition

A **tractable problem** is a problem than can be solved by a computer algorithm that run in polynomial time.

Example (3-SAT: An intractable problem)

A literal is an atomic formula (propositional variable) or the negation of an atomic formula.

Example (3-SAT: An intractable problem)

A literal is an atomic formula (propositional variable) or the negation of an atomic formula.

A (propositional logic) formula F is in conjunctive normal form iff

 $F \text{ has the form } F_1 \wedge \dots \wedge F_n,$  where each  $F_1, \dots, F_n$  is a disjunction of literals.

Example (3-SAT: An intractable problem)

A literal is an atomic formula (propositional variable) or the negation of an atomic formula.

A (propositional logic) formula F is in conjunctive normal form iff

F has the form  $F_1\wedge \dots \wedge F_n,$ 

where each  $F_1, \ldots, F_n$  is a disjunction of literals.

**3-SAT** problem: To determine the satisfiability of a propositional formula in conjunctive normal form where each disjunction of literals is limited to at most three literals.

Example (3-SAT: An intractable problem)

A literal is an atomic formula (propositional variable) or the negation of an atomic formula.

A (propositional logic) formula F is in conjunctive normal form iff

F has the form  $F_1 \wedge \cdots \wedge F_n$ ,

where each  $F_1, \ldots, F_n$  is a disjunction of literals.

**3-SAT** problem: To determine the satisfiability of a propositional formula in conjunctive normal form where each disjunction of literals is limited to at most three literals.

The 3-SAT problem is an intractable problem. The problem was proposed in Karp's 21 NP-complete problems [Karp 1972].

Classification of problems

Problem (Computability) Computable (decidable) (Algorithm Complexity)

Non-computable (undecidable) (Hypercomputation)

#### Course Outline

Language	Machine	Other models
Regular	DFA	<ul> <li>Regular expressions</li> <li>NFA</li> <li>ε-NFA</li> </ul>
Context-free	Pushdown automata	
Recursive	Halting TMs	<ul> <li>λ-calculus</li> <li>Total recursive functions</li> </ul>
Recursively enumerable	TMs	<ul> <li>λ-calculus</li> <li>Partial recursive functions</li> </ul>

- DFA: Deterministic finite automata
- NFA: Non-deterministic finite automata
- $\varepsilon\text{-NFA:}$  Non-deterministic finite automata with  $\varepsilon\text{-transitions}$
- TM: Turing machine

Course Outline

## Paradigms of Programming

#### Some paradigms

- Imperative/object-oriented: Describe computation in terms of state-transforming operations such as assignment. Programming is done with statements.
- Functional: Describe computation in terms of (mathematical) functions. Programming is done with expressions.
- Logic: Predicate calculus as a programming language. Programming is done with sentences.

#### Examples Imperative/OO: C, C++, JAVA, PYTHON Functional: ERLANG, HASKELL, STANDARD ML Logic: CLP(R), PROLOG

Description

'A **side effect** introduces a dependency between the global state of the system and the behaviour of a function... Side effects are essentially invisible inputs to, or outputs from, functions.' [O'Sullivan, Goerzen and Stewart 2008, p. 27]

Description

A pure function 'take all their input as explicit arguments, and produce all their output as explicit results.' [Hutton 2016, § 10.1] Description

- A pure function 'take all their input as explicit arguments, and produce all their output as explicit results.' [Hutton 2016, § 10.1]
- A function is a pure function if it satisfies both of the following statements (Wikipedia: Pure function (July 28, 2014)):
  - (i) 'The function always evaluates the same result value given the same argument value(s). The function result value cannot depend on any...state that may change as program execution proceeds or between different executions of the program, nor can it depend on any external input from I/O devices.'

Description

- A pure function 'take all their input as explicit arguments, and produce all their output as explicit results.' [Hutton 2016, § 10.1]
- A function is a pure function if it satisfies both of the following statements (Wikipedia: Pure function (July 28, 2014)):
  - (i) 'The function always evaluates the same result value given the same argument value(s). The function result value cannot depend on any...state that may change as program execution proceeds or between different executions of the program, nor can it depend on any external input from I/O devices.'
  - (ii) 'Evaluation of the result does not cause any semantically observable side effect or output, such as mutation of mutable objects or output to I/O devices.'

Referential transparency

Equals can be replaced by equals

#### Referential transparency

- Equals can be replaced by equals
- 'By definition, a function in Haskell defines a fixed relation between inputs and output: whenever a function f is applied to the argument value arg it will produce the same output no matter what the overall state of the computation is. Haskell, like any other pure functional language, is said to be "referentially transparent" or "side-effect free". This property does not hold for imperative languages.' [Grune, Bal, Jacobs and Langendoen 2003, pp. 544–545]

Reasoning about (pure) functional programs

Equational reasoning + induction + co-induction + ...

# Reading

#### Homework

To read from the textbook the following sections:

- § 1.1. Why Study Automata Theory?
- § 1.2. Introduction to Formal Proofs
- § 1.3. Additional Forms of Proofs

#### References

Grune, D., Bal, H. E., Jacobs, C. J. H. and Langendoen, K. G. (2003). Modern Compiler Desing. Worldwide Series in Computer Science. John Wiley & Sons (cit. on pp. 26, 27).
 Hopcroft, J. E., Motwani, R. and Ullman, J. D. [1979] (2007). Introduction to Automata

Theory, Languages, and Computation. 3rd ed. Pearson Education (cit. on p. 8).

- Hutton, G. [2007] (2016). Programming in Haskell. 2nd ed. Cambridge University Press (cit. on pp. 23–25).
- Karp, R. M. (1972). Reducibility Among Combinatorial Problems. In: Complexity of Computer Computations. Ed. by Miller, R. E. and Thatcher, J. W. Plenum Press, pp. 85–103. DOI: 10.1007/978-1-4684-2001-2\_9 (cit. on pp. 15–18).
  - O'Sullivan, B., Goerzen, J. and Stewart, D. (2008). Real World Haskell. O'Really Media, Inc. (cit. on p. 22).